

# Algorithms & Complexity: Assignments 1

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# 1 Assignments part I

**Note:** Even though the assignments are written in Dutch, we have written the answers in English to improve our language skills.

## Assignment 1

- (a) Given an alphabet  $\Sigma = \{0, 1\}$  and array  $A$  of symbols (each  $a \in \Sigma$ ). An algorithm to sort the array  $A$  with a time complexity of  $\mathcal{O}(n)$  is described in the following steps:
1. Create an empty array  $B$ .
  2. If symbol  $a = 0$ , put  $a$  at the beginning of array  $B$ .
  3. If symbol  $a = 1$ , put  $a$  at the end of array  $B$ .
  4. If there are no symbols left, return array  $B$ .
- (b) Ideal behavior for a sort algorithm is  $\mathcal{O}(n)$ , but this is not possible in the average case. A sort algorithm requires searching in array  $B$  (to determine the position to insert) for each symbol in  $A$ . If searching through the array is in order of  $\mathcal{O}(\log n)$ , the sort algorithm has a time complexity of  $\mathcal{O}(n \log n)$ .

The “search algorithm” above is in order of  $\mathcal{O}(1)$  (value of symbol  $s$  determines its position to insert). Therefore is the sorting able to complete in a time complexity of  $\mathcal{O}(n)$ .

## Assignment 2

- (a) If elements with a higher frequency are put at the beginning of the list, the search operation stops earlier, when it’s looking for an element with a high frequency. Therefore, less comparisons are required with a descending frequency sorted list.
- (b) The total number of “good searches” is independent of the used storing technique, since a “good search” occurs for every element in list  $s$ . It is impossible to have a different number of “good searches”, because that would indicate that one or more elements of  $s$  are not stored in list  $l$ .
- (c) Given  $l = \{A, B\}$  and  $s$  is an list of search operations, containing  $m$  times  $A$  and  $n$  times  $B$ .

The theorem states that the total number of false comparisons is not larger than  $\min(m, n)$ , when the optimum storage technique is used.

For example, use  $s = \{A, B, A, A, B, A, B, A\}$ . This will result in three false comparisons (one for each  $B$ ). If  $s = \{A, A, A, A, A, B, B, B\}$  (same  $m$  and  $n$ , but different order), only one false comparison (for the first  $B$ ) occurs. Given  $l = \{A, B\}$ , the optimum storage technique will fail for the element with the lowest frequency.

- (d) Given  $l = \{A, B\}$  and  $s$  is an list of search operations, containing  $m$  times  $A$  and  $n$  times  $B$ .

For example, use  $s = \{B, A, B, A, B, A, B, A\}$ . When MFT is used as storage technique,  $l$  changes as follows:

$l_{before}$	search	$l_{after}$	false comparisons
$\{A, B\}$	$s_i = B$	$\{B, A\}$	1
$\{B, A\}$	$s_i = A$	$\{A, B\}$	2
$\{A, B\}$	$s_i = B$	$\{B, A\}$	3
$\{B, A\}$	$s_i = A$	$\{A, B\}$	4
$\{A, B\}$	$s_i = B$	$\{B, A\}$	5
$\{B, A\}$	$s_i = A$	$\{A, B\}$	6
$\{A, B\}$	$s_i = B$	$\{B, A\}$	7
$\{B, A\}$	$s_i = A$	$\{A, B\}$	8

The optimum storage technique requires at most 4 ( $= \min(4, 4)$ ) false comparisons. The example given above is the worst case for MFT, since every search operation results in one false comparison (and the element is found after the first comparison). A total of eight false comparison occurred, which is two times the maximum of the optimum storage technique.

- (e) MFT uses the properties of pairwise independence to 'predict' how many times an element will be searched. In the answer to questions  $c$  and  $d$  we see that the time complexity of MFT is at most twice as expensive as when we already know which element is searched the most.

### Assignment 3

- (a) Bubble sort. The bubble sort algorithm has a worst-case time complexity of  $n(n-1) = n^2 - n$ . Since  $n^2 - n \leq n^2$  for  $n \geq 0$ , bubble sort is in the order of  $O(n^2)$ .
- (b) Bubble sort. In the best case scenario, the array is already sorted. In that case, the algorithm stops when it concludes that no swaps were done after  $n-1$  comparisons, which is in the order of  $\Omega(n)$ .
- (c) Selection sort. Finding the minimum value in the part of an array from  $k$  to  $n$  costs  $n-k$  comparisons, which is  $O(n)$ . Since  $k$  runs from 0 to  $n$ , the total complexity is in order of both  $\Omega(n * n) = \Omega(n^2)$  and  $O(n^2)$ .
- (d) We are looking for an algorithm with a higher growth rate than  $c * 2^n$ . For example, when determining the shortest path between two points we could try all permutations and see which is the cheapest, which costs  $n!$  iterations ( $n! > 2^n$  for  $n \rightarrow \infty$ ).
- (e) Binary search tree. Searching a leaf in a balanced binary tree always requires  $\log n$  comparisons, never less and never more. So in this case  $c_1 = c_2$ .
- (f) Sorting an array with  $n$  elements. This always requires  $n$  iterations, so it is in the order of  $\sim n$ .

#### Assignment 4

When  $k > 0$ , both  $\log^k(n)$  grows slower than  $c * n^{1/k}$ , so  $\log^k(n) \in \mathcal{O}(n^{1/k})$ .

When  $k = 0$ ,  $n^{(1/k)}$  is undefined.

When  $k < 0$ ,  $c * n^{1/k}$  is descending so at a certain point it will stay under  $\log^k(n)$ .

Conclusion:  $\log^k(n) \in \mathcal{O}(n^{1/k})$  for  $k > 0$ .

#### Assignment 5

Given  $f \in \mathcal{O}(g)$ ,  $f$  and  $g$  have the same growth rate ( $f' = c * g'$ ). This means that  $\frac{f}{g} = c$ , which is the same as  $\frac{f}{g} \in \mathcal{O}(1)$ .